

## APPENDIX D

### Audit Plan 2012/13 Progress at 30 April 2012

Area	Start Date	End Date	Status
<b>Performance &amp; Governance</b>			
Strategic Communications	Q3	Q4	
ICT Systems			Audits to be agreed
Key Projects			Audits to be agreed
<b>Performance &amp; Governance - Due Diligence</b>			
Corporate Governance	Q3	Q3	
Programme Office	Q3	Q4	
Performance Management	Q2	Q2	
Big Society Members Grant	June 12	June 12	
People Strategy	Q2	Q2	
<b>Adult Services</b>			
Public Health			Audits subject to change and agreement. Awaiting information from Adult Services.
Transformation Programme			
Adult Social Care – Systems and Operational Processes			
Contract Management			
Safeguarding Vulnerable Adults			
Adults Emergency Duty Team			
Performance/Quality Assurance Systems			
<b>Children's Services</b>			
Early Intervention	Q2-Q3	Q4	
Children Centres	Q2	Q3	
Adoption	Q4	Q4	
Out of County Provision	Q3-Q4	Q4	
Child Protection Plans			Schedule yet to be agreed with Children's Services
Police Notification of Domestic Violence			
Quality Assurance Performance System			
Schools	Apr 12	Mar13	On-going through year
<b>Communities</b>			
Incubation Centres	Q3	Q4	
Local Enterprise Partnership	Jun 12	Q3	Several aspects to be audited
Regeneration Projects	Q2	Q3	
Capital Projects	Q2	Q3	
Carbon Reduction Commitment	Jul 12	Sep 12	
<b>Resources &amp; Community Safety</b>			
Fire & Rescue Service	Q2-Q3	Q4	
Legal Lincolnshire	May 12	Jul 12	In progress
Procurement Lincolnshire - Category Management	Q4	Q4	
Property Strategy / Estate Management	Q3-Q4	Q4	
Coroner's Office	Q4	Q4	
Registrars	Q4	Q4	

Area	Start Date	End Date	Status
Safer Communities	May 12	Jul 12	In progress
Youth Offending - Safeguarding	May 12	Jul 12	In progress
Youth Offending - Case Management	Q3	Q4	
<b>Resources - Due Diligence</b>			
Continuous audit - key financial systems testing	Q2	Q4	Ongoing through year
Financial Systems: <ul style="list-style-type: none"> <li>■ Creditors</li> <li>■ Treasury Management</li> <li>■ Debtors</li> <li>■ Income</li> <li>■ Bank Reconciliation</li> <li>■ Payroll</li> <li>■ Budget Preparation / Management</li> <li>■ Pensions</li> </ul>	Q3	Q4	To be confirmed
Financial and contract regulations: <ul style="list-style-type: none"> <li>■ LCC establishment visits (non-school)</li> </ul>	Q2	Q3	
Capital Programme	Q4	Q4	
Tax Compliance			To be confirmed
Contract Management – advisory role	July 12	Mar 13	On-going through year
Review of contracts across directorates	Q2	Q3	

**End of Appendix D**